

IFW



APPROVED

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PTO DEPOSIT ACCOUNT CHARGE
ACCOUNT# 04-1700

Express Mail

EL964149622US

Deposited on:

08/13/2004

DUNLAP, CODDING & ROGERS, P.C.

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/661,049
Filing Date	09/12/2003
First Named Inventor	Richard D. Cummings et al
Group Art Unit	1645 (Conf. No. 7472)
Examiner Name	Unknown
Attorney Docket Number	7148.003

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
☐ Fee Attached
☐ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/ Incomplete Application
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please identify below):

See remarks below:

Remarks

1. Transmittal (1 page);
2. Fee Transmittal (1 page) authorizing the Commissioner to charge any additional fee required and/or credit any overpayments to Deposit Account Dunlap, Coddling & Rogers, P.C.;
3. Supplemental Information Disclosure Statement (3 pages);
4. Supplemental Form PTO-1449 (1 page);
5. 2 copies of cited references; and
6. Return Receipt Postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name: DUNLAP, CODDING & ROGERS, P.C., Customer Number 30589
P. O. Box 16370, Oklahoma City, Oklahoma 73113; Christopher W. Corbett

Signature

Date

Christopher W. Corbett
8/13/04

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. EL964149622US in an envelope addressed to the address below on this date: 08/13/2004

Typed or printed name

Christopher W. Corbett, Reg. No. 36,109

Signature

Date

Christopher W. Corbett
8/13/04

SEND TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Express Mail No.:

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Date Deposited:

08/13/2004

ACCOUNT#04-1700

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Approved for use through 07/31/2006. OMB 0651-0032
PTO/SB/17 (10-03)**FEE TRANSMITTAL**
for FY 2004

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known

Application Number	10/661.049
Filing Date	09/12/2003
First Named Inventor	Richard D. Cummings et al.
Examiner Name	Unknown
Art Unit	1645
Attorney Docket No.	7148.003

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit Account Number
Deposit Account Name

04-1700

Dunlap, Codding & Rogers, P.C.
Customer No. 30589

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	** =	X	\$0
Multiple Dependent	** =	X	\$0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65			Surcharge - late filing fee or oath	
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130			Non-English specification	
1812 2,520	1812 2,520			For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*			Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*			Requesting publication of SIR after Examiner action	
1251 110	2251 55			Extension for reply within first month	
1252 420	2252 210			Extension for reply within second month	
1253 950	2253 475			Extension for reply within third month	
1254 1,480	2254 740			Extension for reply within fourth month	
1255 2,010	2255 1,005			Extension for reply within fifth month	
1401 330	2401 165			Notice of Appeal	
1402 330	2402 165			Filing a brief in support of an appeal	
1403 290	2403 145			Request for oral hearing	
1451 1,510	1451 1,510			Petition to institute a public use proceeding	
1452 110	2452 55			Petition to revive - unavoidable	
1453 1,330	2453 665			Petition to revive - unintentional	
1501 1,330	2501 665			Utility issue fee (or reissue)	
1502 480	2502 240			Design issue fee	
1503 640	2503 320			Plant issue fee	
1460 130	1460 130			Petitions to the Commissioner	
1807 50	1807 50			Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180			Submission of Information Disclosure Stmt	
8021 40	8021 40			Recording each patent assignment per property (times number of properties)	
1809 770	2809 385			Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385			For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385			Request for Continued Examination (RCE)	
1802 900	1802 900			Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

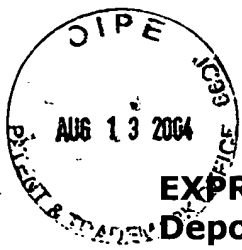
SUBTOTAL (3) (\$) 0

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Christopher W. Corbett	Registration No. (Attorney/Agent)	36,109	Telephone	(405) 607-8600
Signature		Date	08/13/2004		

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450



EXPRESS MAIL NO.: EL964149622US

Deposited On: 08/13/2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/661,049

Confirmation No.: 7472

Applicant(s) : Richard D. Cummings and Tongzhong Ju

Filed : 09/12/2003

TC/A.U. : 1645

Examiner : Unknown

**Title : CORE1 β 3-GALACTOSYLTRANSFERASE
SPECIFIC MOLECULAR CHAPERONES, NUCLEIC
ACIDS, AND METHODS OF USE THEREOF**

Docket No. : 7148.003

Customer No. : 30589

Commissioner for Patents

P.O. Box 1450

Arlington, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**List of Sections Forming Part of This
Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

1. ☒ Preliminary Statements
2. ☒ Form PTO-1449 (Modified)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted

- 5. ☒ Copies of Listed Information Items Accompanying this Statement
- 6. ☒ Identification of Person(s) Making this Information Disclosure Statement

Section 1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Form PTO-1449 (Modified)

☒ A Completed Form PTO-1449 (Modified) is attached hereto.

Section 3. Statement as to Information Not Found in Patents or Publications (Information Not Listed in Form PTO-1449(Modified))

Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. _____, filed on _____ (date).

(complete the following, if applicable)

- ☐ This application also relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. _____, filed on _____ (date).

The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore, are not required to be provided in this application:

Section 5. Copies of Listed Information Items Accompanying this Statement

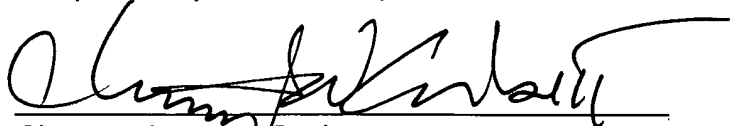
Legible copies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure statement.

Section 6. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information:

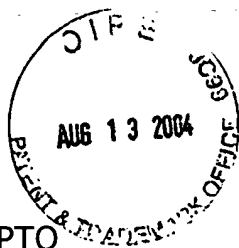
- ☐ supplied by the inventor(s)
- ☐ supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- ☐ in the attorney's file
- ☒ cited in corresponding PCT application

Respectfully submitted,



Christopher W. Corbett, Reg. No. 36.109
DUNLAP, CODDING & ROGERS, P.C.
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Agent for Applicants

Express Mail: EI964149622US
Date Deposited: 08/13/2004



Page 1 of 1

Substitute for form 1449A/PTO

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known	
Application Number	10/661,049
Filing Date	09/12/2003
First Named Inventor	Richard D. Cummings et al.
Group Art Unit	Unknown (Conf. No.)
Examiner Name	Unknown
Attorney Docket Number	7148.003

(use as many sheets as necessary)

U. S. PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	U.S. PATENT NUMBER Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6635468		Ashkenazi et al.	10/21/2003	

FOREIGN PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	Foreign Patent Document Office 3 Number 4 Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		WO 2001/40466 A2	Baker et al.	06/07/2001		

U.S. and Foreign: ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

NON PATENT DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

Non Patent Documents: ¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature:

Date Considered:

EXAMINER: Initial if citation considered, whether or not citation is in conformance and not considered. Include copy of this form with next communication to applicant. **Place of Publication refers to name of publication in which the information was published.

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,635,468 B2
DATED : October 21, 2003
INVENTOR(S) : Desnoyers et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page,

Item [75], Inventors, delete the inventors and replace as follows:

-- **Luc Desnoyers**, San Francisco, CA (US); **Audrey Goddard**, San Francisco, CA (US); **Paul J. Godowski**, Hillsborough, CA (US); **Austin L. Gurney**, Belmont, CA (US); **Jennie P. Mather**, Millbrae, CA (US); **P. Mickey Williams**, Half Moon Bay, CA (US); **William I. Wood**, Hillsborough, CA (US) --

Item [74], *Attorney, Agent, or Firm*, delete "Ginger R. Greger, Esq." and insert -- Ginger R. Dreger, Esq. --

Column 641,

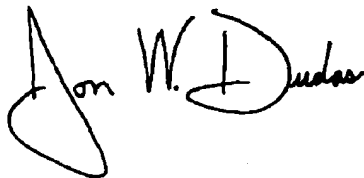
Lines 30, 48 and 66, delete "the amino acid sequence shown in FIG. 38 (SEQ ID NO:104)" and insert -- procollagen C-proteinase enhancing properties. --

Column 642,

Lines 30, 50 and 66, delete "the amino acid sequence shown in FIG. 38 (SEQ ID NO:104)" and insert -- procollagen C-proteinase enhancing properties. --

Signed and Sealed this

Sixth Day of April, 2004



JON W. DUDAS
Acting Director of the United States Patent and Trademark Office